



Form PTO-1449 (Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

20004/29A-US

Serial No.

09/490,495

Applicants

Blumenau

Filing Date

January 25, 2000

Group Art Unit

3625

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## NON-PATENT LITERATURE (Including Author, Title, Date, Pertinent Pages, etc.)

<input checked="" type="checkbox"/>	C001	Transcript of videotaped deposition of Prakash Baskaran, February 15, 2007, (277 pages).
<input type="checkbox"/>	C002	Transcript of videotaped deposition of Prakash Baskaran, February 16, 2007, (122 pages).
<input type="checkbox"/>	C003	Exhibit 5 of Baskaran deposition, HTML page embedding trace applet, April 22, 1996 (8 pages).
<input type="checkbox"/>	C004	Exhibit 6 of Baskaran desposition, resume of Prakash Baskaran, no date, (6 pages).
<input type="checkbox"/>	C005	Exhibit 7 of Baskaran deposition, HTML page embedding trace applet, January 1996, (4 pages).
<input type="checkbox"/>	C006	Exhibit 9 of Baskaran deposition, HTML page embedding trace applet, no date, (3 pages).
<input type="checkbox"/>	C007	Exhibit 10 of Baskaran deposition, log file, dated February 28, 1996, (2 pages).
<input type="checkbox"/>	C008	Exhibit 11 of Baskaran deposition, log file for web server, October 28, 1995, (3 pages).
<input type="checkbox"/>	C009	Exhibit 12 of Baskaran deposition, message posted on Gamelan web server, May 14, 1996, (3 pages).
<input type="checkbox"/>	C010	Exhibit 13 of Baskaran deposition, PERL script of trace.pl program, January 1996, (2 pages).
<input type="checkbox"/>	C011	Exhibit 15 of Baskaran deposition, e-mail archive, November 26, 1996, (52 pages).
<input type="checkbox"/>	C012	Exhibit 16 of Baskaran deposition, stat.pl program, January 1996, (3 pages).
<input type="checkbox"/>	C013	Exhibit 17 of Baskaran deposition, production version of stat.pl, January 1996, (5 pages).
<input type="checkbox"/>	C014	Exhibit 19 of Baskaran deposition, PERL script wrote to collect information about someone interested in the trace applet, March/April 1996, (4 pages).
<input type="checkbox"/>	C015	Exhibit 20 of Baskaran deposition, HTML page embedding trace applet, January 1996, (3 pages).
<input type="checkbox"/>	C016	Exhibit 21 of Baskaran deposition, HTML homepage, January 1996, (3 pages).
<input type="checkbox"/>	C017	Exhibit 22 of Baskaran deposition, HTML page as part of Superlink website, January 1996, (3 pages).
<input type="checkbox"/>	C018	Exhibit 23 of Baskaran deposition, PERL script of trace program for web server on which trace applet was served, February 1997, (2 pages).
<input type="checkbox"/>	C019	Exhibit 24 of Baskaran deposition, shellscript which executes a program called Progman, no date, (2 pages).
<input type="checkbox"/>	C020	Exhibit 25 of Baskaran deposition, PERL script from program developed while working for CMC, November 14, 1995, (3 pages).
<input checked="" type="checkbox"/>	C021	Exhibit 26 of Baskaran deposition, printout of a file listing of a folder identified as AT&T on the cd, date unknown earliest date appearing in the document is August 5, 1994, (85 pages).

EXAMINER

*James Z. Park*

DATE CONSIDERED

2/18/00

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 20004/29A-US	Serial No. 09/490,495
<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>		Applicants <b>Blumenau</b>	
		Filing Date <b>January 25, 2000</b>	Group Art Unit <b>3625</b>

<input checked="" type="checkbox"/>	C022	Exhibit 27 of Baskaran desposition, address list, no date (3 pages).
<input type="checkbox"/>	C023	Exhibit 28 of Baskaran deposition, home page for trace applet, 1996, (2 pages).
<input type="checkbox"/>	C024	Exhibit 31 of Baskaran depsoition, table of contents of tape archive, July 14, 1995, (209 pages).
<input type="checkbox"/>	C025	Exhibit 32 of Baskaran deposition, table of contents of att.tar.z file, October 18, 1994, (59 pages).
<input type="checkbox"/>	C026	Exhibit 33 of Baskaran deposition, table of contents of super_other.tar, April 22, 1995, (4 pages).
<input type="checkbox"/>	C027	Exhibit 34 of Baskaran deposition, trace.java source code, January 5, 1996, (4 pages).
<input type="checkbox"/>	C028	Exhibit 35 of Baskaran deposition, trace.pl file content, September 14, 1995, (2 pages).
<input type="checkbox"/>	C029	Exhibit 36 of Baskaran deposition, stat.pl source code, September 14, 1995, (6 pages).
<input type="checkbox"/>	C030	Exhibit 37 of Baskaran deposition, stat.pl source code extracted from /PrakashB/log, April 20, 1995, (3 pages).
<input type="checkbox"/>	C031	Exhibit 38 of Baskaran deposition, stat.pl source code extracted from super_other.tar, October 24, 1995, (6 pages).
<input type="checkbox"/>	C032	Exhibit 39 of Baskaran deposition, trace.log source code, September 14, 1995, (8 pages).
<input type="checkbox"/>	C033	Exhibit 40 of Baskaran deposition, trace.html source code, October 7, 1995, (2 pages).
<input type="checkbox"/>	C034	Exhibit 41 of Baskaran deposition, header.html source code, April 21, 1995, (2 pages).
<input type="checkbox"/>	C035	Exhibit 42 of Baskaran deposition, projects.html source code, December 5, 1995, (2 pages).
<input type="checkbox"/>	C036	Exhibit 43 of Baskaran deposition, applets.html source code, April 21, 1995, (3 pages).
<input type="checkbox"/>	C037	Exhibit 44 of Baskaran deposition, titles.html source code, December 5, 1995, (2 pages).
<input type="checkbox"/>	C038	Exhibit 45 of Baskaran deposition, CGI-bin.html source code, April 21, 1995, (2 pages).
<input type="checkbox"/>	C039	Exhibit 46 of Baskaran deposition, RC_applet.html source code, December 28, 1995, (2 pages).
<input type="checkbox"/>	C040	Exhibit 47 of Baskaran deposition, java.html source code, December 5, 1995, (2 pages).
<input type="checkbox"/>	C041	Exhibit 48 of Baskaran deposition, index.html source code, April 21, 1995, (3 pages).
<input type="checkbox"/>	C042	Exhibit 49 of Baskaran deposition, faq.html source code, April 21, 1995, (2 pages).
<input type="checkbox"/>	C043	Exhibit 50 of Baskaran deposition, movie.html source code, April 21, 1995, (2 pages).
<input type="checkbox"/>	C044	Exhibit 51 of Baskaran deposition, mov2.html source code, April 24, 1995, (2 pages).
<input type="checkbox"/>	C045	Exhibit 52 of Baskaran deposition, trace.pl proram extracted from super_other.tar, October 12, 1995, (2 pages).
<input type="checkbox"/>	C046	Exhibit 54 of Baskaran deposition, resume, April 22, 1995, (4 pages).
<input type="checkbox"/>	C047	Exhibit 55 of Baskaran deposition, gamelan.com website printout, Bates stamped U 0241562 and U-241563, May 14, 1996, (2 pages).
<input type="checkbox"/>	C048	Exhibit 56 of Baskaran deposition, gamelan.com website printout, Bates stamped U0241555 through U0241561, May 1996, (7 pages).
<input type="checkbox"/>	C049	Exhibit 57 of Baskaran deposition, order.pl file extracted from super_other.tar, October 12, 1995, (4 pages).
<input checked="" type="checkbox"/>	C050	Exhibit 58 of Baskaran deposition, collection of directories, September 14, 1995, (51

*James Smith 2/19/00*

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		pages).
JL	C051	Listing of Baskaran computer files (copies of individual files are available from applicant on request), printed on November 30, 2007, (99 pages).
	C052	Staten, James "Navigator Tricks Raise Concerns," MacWEEK, March 18, 1996 (2 pages).
	C053	Hayes, Frank, "JavaScript Can Trace User Tracks," ComputerWorld, March 18, 1996 (4 pages).
	C054	Burger, Dale, "JavaScript Escalates Privacy Fears," Computing Canada, March 28, 1996, (2 pages).
	C055	McCarthy, Shawn P., "For Smooth Sailing, Try Out Alternative to Netscape Frames," Government Computer News, October 21, 1996, (2 pages).
	C056	"Netscape in No Rush to Tell Users of JavaScript Security Flaw that Leaves them Users Wide Open to Attack," Computergram, March 15, 1996, (2 pages).
	C057	Sullivan, Eamonn, "Internet Software Problems Drive Home Security Issue," PCWeek, March 11, 1996, (2 pages).
	C058	Taft, Darryl K. and Charlotte Dunlap, "Sun, Netscape Sing Different Tunes on the Net," Computer Reseller News, March 25, 1996, (2 pages).
	C059	Tcpslice(8).-Linux man page retrieved from <a href="http://www.die.net/doc/linux/man/man8/tcpslice.8.html">http://www.die.net/doc/linux/man/man8/tcpslice.8.html</a> on June 12, 2006, (3 pages).
	C060	Brueggeman, Peter "Monitoring CDrom Usage," Cambridge Scientific Abstracts' Aquatic Sciences and Fisheries, no date, (5 pages).
	C061	Gamelan, the Official Director for Java, Tools and Utilities, web pages, retrieved from <a href="http://web.archive.org/web/19961026171839/www.gameland.com/pag...">http://web.archive.org/web/19961026171839/www.gameland.com/pag...</a> on August 16, 2007, (31 pages).
	C062	Gamelan, the Official Director for Java, Network and Communications, web pages, retrieved from <a href="http://web.archive.org/web/19961026170151/www.gameland.com/pages...">http://web.archive.org/web/19961026170151/www.gameland.com/pages...</a> on August 16, 2007, (7 pages).
	C063	Crazy Counter, last modified March 8, 1996, (2 pages).
	C064	CrazyCounter Java applet, 1996, (5 pages).
	C065	Department of Engineering Measurement, Prof. Dr. rer. Nat. Wolf-Jurgen Becker, last modified on September 20, 1996, (1 page).
	C066	Email from Paul Barber dated May 3, 1996, (2 pages).
	C067	Crazy Counter (GIF89a), last modified March 8, 1996, (1 page).
	C068	Google Groups: comp.lang.javascript, dated February 29, 1996, retrieved from <a href="http://groups-beta.google.com/group/comp.lang.javascript/browse_thread/thread/4874a9f9c...">http://groups-beta.google.com/group/comp.lang.javascript/browse_thread/thread/4874a9f9c...</a> on June 8, 2005, (4 pages).
	C069	Google Groups: comp.infosystems.www.providers, dated December 7, 1994, retrieved from <a href="http://groups-beta.google.com/groups/comp.infosystems.www.providers/browse_thread/thre...">http://groups-beta.google.com/groups/comp.infosystems.www.providers/browse_thread/thre...</a> on June 8, 2005, (4 pages).
V	C070	Email thread, dated February 9, 1996, (33 pages).

James Zurek, 2/18/08

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JZ	C071	Counter, trace applet code, author: java@mt.e-technik.uni-kassel.de, no date, (1 page).
J	C072	Matt's Script Archive: Book 'em Dano: Readme, retrieved from file://P:\ Drive Files\CLIENTS\Nielsen-NetRatings\Settled Cases\Sane Solutions, LLC\... on December 21, 2006, (2 pages).
	C073	Warren, Jim "Audience Tracking System" for Electronic Newspapers, Google Groups, May 3, 1995, (3 pages).
	C074	Index of pub/ftp/utilities/fwgstat, Software Archive, ftp.ist.utl.pt, 1999, (3 pages).
	C075	Fachgebiet MeBtechnik, Univ. -Prof. Dr. rer. Nat. Wolf-Jurgen Becker, Uni Kassel, FB16, Messtechnik homepage, last modified September 20, 1996, author: webmaster@mt.e-technik.uni-kassel.de, (1 page).
	C076	Franks, John "Looking for a GN log," Google Groups Beta, dated October 7, 1993, retrieved from <a href="http://groups.google.com/group/comp.infosystems.gopher/browse_thread/thread/15dc16c0284c02d0/953bf38f2cee15ea?q=fwgstat&amp;num=34...">http://groups.google.com/group/comp.infosystems.gopher/browse_thread/thread/15dc16c0284c02d0/953bf38f2cee15ea?q=fwgstat&amp;num=34...</a> on May 24, 2006, (3 pages).
	C077	Javascript Announcement.txt, made December 4, 1995, (8 pages).
	C078	Carvdawg, "Java Project," Google Groups Beta, dated January 18, 19997, retrieved from <a href="http://groups.google.com/group/comp.lang.java.programmer/browse_thread/thread/5430a3...">http://groups.google.com/group/comp.lang.java.programmer/browse_thread/thread/5430a3...</a> on April 12, 2006, (3 pages).
	C079	"Java," javapage, retrieved from <a href="http://web.archive.org/web/20040712072256/http://www.groton.k12.ct.us/WWW/fsr/student/Spring02/W...">http://web.archive.org/web/20040712072256/http://www.groton.k12.ct.us/WWW/fsr/student/Spring02/W...</a> on May 24, 2006, (4 pages).
	C080	IOMON -Protected mode I/O port monitor, program and source code, January 1992, (6 pages).
	C081	Johnson, Aaron "Implementation Guide," HBX On-Demand Web Analytics, WebSideStory, April 26, 2006, (15 pages).
	C082	"Horace's Java Page View Timer Demo," Form 1, last modified August 7, 1999, (2 pages).
	C083	Sclauson, "Hit Counters," Google Groups Beta, dated June 13, 1995, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www.authoring.html/browse_thread/thread/dfb1a837f29e165e/a0e6a131c6102d?q=acces...">http://groups.google.com/group/comp.infosystems.www.authoring.html/browse_thread/thread/dfb1a837f29e165e/a0e6a131c6102d?q=acces...</a> on May 24, 2006, (3 pages).
	C084	Mr. Matt, "Hit Counter," Google Groups Beta, dated March 29, 1995, retrieved from <a href="http://groups.goodle.com/group/comp.infosystems.www.users/browse_thread/thread/390dc231bb3f0097/64bddc80086f124b?q+egi+hit+counte...">http://groups.goodle.com/group/comp.infosystems.www.users/browse_thread/thread/390dc231bb3f0097/64bddc80086f124b?q+egi+hit+counte...</a> on May 24, 2006, (2 pages).
	C085	"Hello Net" counter, no date, (1 page).
	C086	Ryan Hill, "Netscape 2.0 Javascript Security Concerns," Google Groups Beta, dated March 1, 1996, retrieved from <a href="http://groups.google.com/group/comp.sys.mac.misc/browse_thread/thread/f9285c7d4e4354cd/eb94d50669840159?q+javascript+exploit&amp;num...">http://groups.google.com/group/comp.sys.mac.misc/browse_thread/thread/f9285c7d4e4354cd/eb94d50669840159?q+javascript+exploit&amp;num...</a> on May 24, 2006, (3 pages).
	C087	Bob Jernigan, "Simple Event Counter," Google Groups Beta, dated April 17, 1996, retrieved from <a href="http://groups.google.com/group/comp.databases.oracle/browse_thread/thread/97671e385d1bac94/777a82875e328555?q+javascript+counter+sc...">http://groups.google.com/group/comp.databases.oracle/browse_thread/thread/97671e385d1bac94/777a82875e328555?q+javascript+counter+sc...</a> on May 24, 2006, (2 pages).
	C088	Daniel Nichter, "Can U Make a Hit Counter in a Java Applet," Google Groups Beta, dated

*James Lunte*

*2/18/08*

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2		July 21, 1996, retrieved from <a href="http://groups.google.com/group/comp.lang.java/browse_thread/thread/f2a41d0cb5c8eee4/c8...">http://groups.google.com/group/comp.lang.java/browse_thread/thread/f2a41d0cb5c8eee4/c8...</a> on May 2, 2006, (6 pages).
	C089	Erik T. Mueller, "InfoTicker Java Applet," Google Groups Beta, dated May 11, 1996, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www.announce/browse_thread/threadcc...">http://groups.google.com/group/comp.infosystems.www.announce/browse_thread/threadcc...</a> on May 2, 2006, (2 pages).
	C090	Goldmail, "GoldMail thinks Consumers Should get Paid from Reading Advertising," Google Groups Beta, dated July 7, 1996, (4 pages).
	C091	Goldmail, "GoldMail Revolutionizes Internet Advertising," Google Groups Beta, dated July 7, 1996, (4 pages).
	C092	T. Berners-Lee, "Uniform Resource Locators (Berners-Lee, Masinter).txt, dated December 1994, (23 pages).
	C093	Daniel Jacobs, "WWW hit counter: does this work?," Google Groups Beta, dated December 7, 1994, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www.announce/browse_thread/thread/b4ef428d8c96d525/3e18b779b1dad79e?q=cgi+hit+counter&amp;rnum=74&amp;...">http://groups.google.com/group/comp.infosystems.www.announce/browse_thread/thread/b4ef428d8c96d525/3e18b779b1dad79e?q=cgi+hit+counter&amp;rnum=74&amp;...</a> on May 24, 2006, (7 pages).
	C094	Thomas Boutell, "WUSAGE 1.0: Server Usage Graphs, Top Ten...," Google Groups Beta, dated January 31, 1994, retrieved from <a href="http://groups.google.com/group/comp.infosystems/www/browse_thread/thread/a07834d8b38dbc4f/f05bf1df25d47fd3?q=wusage+1.0&amp;rnum=1...">http://groups.google.com/group/comp.infosystems/www/browse_thread/thread/a07834d8b38dbc4f/f05bf1df25d47fd3?q=wusage+1.0&amp;rnum=1...</a> on May 24, 2006, (2 pages).
	C095	Lamers, Yermo, Webthreads Announcement.txt, October 8, 1996, (7 pages).
	C096	"WebSideStory" dated June 22, 2005, (10 pages).
	C097	"Web Authoring FAQ" retrieved from file://P:\P Drive Files\CLIENTS\Nielsen-NetRatings\Settled Cases\Sane Solutions, LLC\... on December 21, 2006, last updated November 23, 2005, (59 pages).
	C098	Prentiss Riddle, "Single Program to Summarize Logs of Several Kinds of Server?" Google Groups Beta, dated January 6, 1994, retrieved from <a href="http://groups.google.com/group/comp.infosystems.gopher/browse_thread/thread/4790232128a9157/d1534978dddf998?q=fwgstat&amp;rnum=33...">http://groups.google.com/group/comp.infosystems.gopher/browse_thread/thread/4790232128a9157/d1534978dddf998?q=fwgstat&amp;rnum=33...</a> on May 24, 2006, (10 pages).
	C099	Risks-Forum Digest "Forum on Risks to the Public in Computers and Related Systems," dated March 4, 1996, Volume 17: Issue 83, retrieved from file://P:\P Drive Files\CLIENTS\Nielsen-NetRatings\Settled Cases\Sane Solutions, LLC\... on Decemebr 21, 2006, (11 pages).
	C100	R. Rieger, "SCO 3.2 UNIX," Google Groups Beta, dated October 28, 1989, retrieved from <a href="http://groups.google.com/group.comp.unix/xenix/browse_thread/thread/cb3d1aed21bc0e3e/8d9ce54693af9e98?q=xferstats&amp;rnum=200...">http://groups.google.com/group.comp.unix/xenix/browse_thread/thread/cb3d1aed21bc0e3e/8d9ce54693af9e98?q=xferstats&amp;rnum=200...</a> on May 24, 2006, (3 pages).
	C101	Reuters, "Study Faults Online Ads for Kids, FTC Probes," Google Groups Beta, dated March 28, 1996, (3 pages).
	C102	"Applets and Applikationen" Fachgebiet MeBtechnik, author: java@mt.e-technik.uni-kassel.de, last modified August 27, 1996, (5 pages).
	C103	Hughes, Kevin, "ANNOUNCE: Announcing getstats 1.0, a Web log analyzer," Google Groups Beta, dated February 1, 1994, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www/browsw_thread/thread/1009159de">http://groups.google.com/group/comp.infosystems.www/browsw_thread/thread/1009159de</a>

James Fante 2/18/08

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J2		1aaf6aa/ed924b219923cc7d?q=getstates&rnum=1&rnum=1&h1... on May 24, 2006, (3 pages).
	C104	Hughes, Kevin, "Announcing getsites 1.5, a Web log analyzer," Google Groups Beta, dated November 3, 1993, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www/browse_thread/thread/a36eeb6daea735d8/0fa7adf53e51b894?q=getsites&amp;rnum=19&amp;h...">http://groups.google.com/group/comp.infosystems.www/browse_thread/thread/a36eeb6daea735d8/0fa7adf53e51b894?q=getsites&amp;rnum=19&amp;h...</a> on May 24, 2006, (2 pages).
	C105	Fielding, Roy T., "Announcing wwwstat-0.1 -an access log summary program," Google Groups Beta, dated January 15, 1994, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www/browse_thread/thread/784aa9c20470d342/fc0cde0742990875?q=wwwstat&amp;rnum=43...">http://groups.google.com/group/comp.infosystems.www/browse_thread/thread/784aa9c20470d342/fc0cde0742990875?q=wwwstat&amp;rnum=43...</a> on May 24, 2006, (8 pages).
	C106	"Applet Collection," author: Thomas Wendt, no date, (5 pages).
	C107	"Applets and Applications" Department of Engineering Measurement, Applets at Kassel, author: java@mt.e-technik.uni-kassel.de, last modified August 27, 1996, (5 pages).
	C108	Ivler, J.M. "Advertising Models and associated software," Google Groups Beta, dated May 15, 1996, (5 pages).
	C109	Desjardins, Louis "Activity Monitor 1.1" User's Guide, copyright 1994, (5 pages)
	C110	Carnahan, Jeffrey D. "Access Counters," Google Groups Beta, dated July 3, 1995, retrieved from <a href="http://groups.google.com/group/comp.infosystems.www.authoring.html/browse_thread/thread/e515dad2e5d1e8cc/0ebdc329edec00cc?q=hit+c...">http://groups.google.com/group/comp.infosystems.www.authoring.html/browse_thread/thread/e515dad2e5d1e8cc/0ebdc329edec00cc?q=hit+c...</a> on May 24, 2006, (7 pages).
	C111	"A Visual History of Web Measurement: Vendor Emergence, Technology Deployment and Seminal Events," assembled from document provided by John Pestana of Omniture, <a href="http://www.websitemeasurement.com">www.websitemeasurement.com</a> , no date, (1 page).
	C112	"NetAcuity: IP Intelligence Whitepaper" Digital Envoy, copyright 2002-2003, (10 pages).
V	C113	Guzdial, et al. "Analyzing and Visualizing Log Files: A Computational Science of Usability," GVU Center College of Computing, Georgia Institute of Technology, no date, (15 pages).

James Zante 2/19/08